

NTCIP Standards Bulletin

B0087

from the Joint AASHTO / ITE / NEMA Committee on the NTCIP

DATE: December 19, 2003

TO: AASHTO, ITE, and NEMA

SUBJECT: Five Year Review and Action on NTCIP Standards:
1101, 1201, 1202, 1203, 1204, and 2001

ACTION: Request for Action for Extension or Reaffirmation

RECOMMENDATION 1. This Bulletin reports that the Joint Committee on the NTCIP recommended that NTCIP 8001, *NTCIP Joint Standardization Policies and Procedures*, be amended to add the following new text. The recommendation was made at the regular meeting on November 13-14, 2003, and was approved by a two-thirds majority on the third vote by 12 yeas, one nay, and one abstention.

Add the clauses:

STEP 14 - SDOs Maintain the Standard

(to be incorporated into the 8001 revised "SECTION [TBD] - Review Procedures for Joint Standards")

4.14.1 [NEW] The NTCIP Coordinator shall review Joint NTCIP Standards (defined here to include: Base Standards, Device Data Dictionary Standards, Object Models, Interface Definitions, and Profiles) to determine that none is older than five years. The NTCIP Coordinator shall notify the appropriate WG one year prior to the expiration of the five year period that WG action is required.

4.14.2 [NEW] Upon notification by the NTCIP Coordinator, the WG shall take action to propose to the Joint Committee the reaffirmation, revision, or rescission of the subject Joint NTCIP Standard.

4.14.3 [NEW] Reaffirmation. The subject Joint NTCIP Standard may be recommended to the SDOs for reaffirmation by the affirmative vote of at least two-thirds of the members of the Joint Committee. After recommendation by the Joint Committee, the subject Joint NTCIP Standard shall be considered and acted upon individually by each SDO.

4.14.4 [NEW] Extension. For good cause shown by the WG, such as work in progress on amendment or revision, the subject Joint NTCIP Standard may be recommended to the SDOs for annual extension by the affirmative vote of at least two-thirds of the members of the Joint Committee. No extension of time beyond 10 years from the date of Joint Approval shall be recommended for action. After recommendation by the Joint Committee, the subject Joint NTCIP Standard shall be considered and acted upon individually by each SDO.

4.14.5 [NEW] Failure to Recommend. If within the five year period after Joint Approval, and following notification by the NTCIP Coordinator, the Joint Committee fails to recommend reaffirmation or extension of the subject Joint NTCIP Standard, then each SDO shall individually act upon the subject Joint NTCIP Standard.



4.14.6 [NEW] Rescission. The subject Joint NTCIP Standard may be recommended to the SDOs for rescission by the affirmative vote of at least two-thirds of the members of the Joint Committee. After recommendation by the Joint Committee, the subject Joint NTCIP Standard shall be considered and acted upon individually by each SDO.

RECOMMENDATION 2. This Bulletin also reports that the Joint Committee on the NTCIP recommended that AASHTO, ITE, and NEMA take the necessary action for extension of approval for six NTCIP standards subject to their 5-year review. The recommendation was made at the regular meeting on November 13-14, 2003, and was approved by a vote of 12 yeas, no nays, and no abstentions.

The Joint Committee was advised that there are six NTCIP standards that have received, from NEMA, one or more one-year extensions beyond the 5-year reaffirmation period. AASHTO and ITE requested that the Joint Committee recommend that AASHTO and ITE ballot these standards for extension or reaffirmation.

Publication Number	Date NEMA Approved	Date NEMA Extended	Basis of WG Request for Extension
NTCIP 1101:1996	Jul-96	Feb-03	STMF to be replaced by 1102, 1103, 8004
NTCIP 1201:1996	Aug-98	Jun-02	1201 v02 at RS; going to ballot
NTCIP 1202:1996	Jul-96	Feb-03	1202 v02 at RS; going to ballot
NTCIP 1203:1997	Oct-97	Oct-03	1203 v02 UC disposition
NTCIP 1204:1998	Aug-98	Aug-03	1204 v02 forecast at RS in 2004
NTCIP 2001:1996	Jul-96	Feb-03	to be replaced by 4 documents; 2102 & 2201 in ballot

BACKGROUND. NEMA follows the *ANSI Essential Requirements* requiring timely revision or reaffirmation of standards (ref. ER 4.7 Maintenance of American National Standards). Up to five, one-year extensions, with explanation, can be requested and granted before action is taken. NEMA's Standards Approval Associate staff member tracks all the standards, and issues email notice when action is required.

The first round of balloted and jointly-approved NTCIP standards were processed in 1996 and 1997, and have already been subjected to the five-year action notice.

The original "Development Process" White Paper, which governed the operation of the Joint Committee, did not define any "joint" procedure for 5-year review.

NEMA's Codes and Standards Committee will grant extensions based on justified requests from the NTCIP WG chairperson, acting on these specific technical matters by authority from the Section. The NTCIP Coordinator shares the notices from the NEMA

Standards Approval Associate, and reports the results from the NEMA C&S Committee actions, with AASHTO and ITE staff.

NOTES. Excerpts from the *Standardization Policies and Procedures of the National Electrical Manufacturers Association* define the steps at NEMA:

Review of NEMA Standards Publications

The Codes and Standards Committee shall review NEMA Standards, ..., to determine that none is older than 5 years. The Committee shall notify the appropriate Subdivision 2 years prior to expiration of the 5 year period that Subdivision action is required.

Subdivision Action

Upon notification by the Codes and Standards Committee, the Subdivision shall take action to reaffirm, revise, or rescind the subject NEMA Standard,

Rescission or Extension

If a Subdivision, following notification by the Codes and Standards Committee, fails to reaffirm, revise, or rescind the subject NEMA Standard, ... within the five year period, the Codes and Standards Committee shall take action to rescind the NEMA Standard, The Codes and Standards Committee may, however, for good cause shown, grant annual extensions. No extension of time beyond 10 years from the date of approval shall be granted for action on a standard.

INSTRUCTIONS. AASHTO and ITE are instructed to initiate action for the reaffirmation or extension of the subject NTCIP standards, and certify the results to the NTCIP Coordinator.

**ATTACH-
MENT:** None.

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CC: Email with PDF attachment for redistribution to AASHTO and ITE staff.
Email notification to NTCIP community via listserv reflector for NTCIP Web site distribution.

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